

**Notice Pursuant to Bankruptcy Rule 3001**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) \_\_\_\_\_

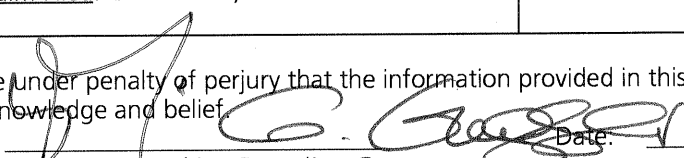
**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> <b>Banque Baring Brothers Sturdza SA</b>	<u>Name of Transferor:</u> <b>UBS AG</b>
Notices to Transferee should be sent to: Banque Baring Brothers Sturdza SA 112 rue du Rhône, PO BOX 3024, 1211 Geneva 3, Switzerland +41 22 317 9822 Attn: Mrs. Joelle Polliand EMAIL: j.polliand@bbbsa.ch	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
<u>Amount of Claim Being Transferred:</u>  <b>EUR 50'000.00 (face amount of securities)</b>	<b><i>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</i></b>
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> October 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

  
Marc Boryszewski & Geneviève Gugger  
Banque Baring Brothers Sturdza SA  
112 rue du Rhône, PO BOX 3024,  
1211 Geneva 3, Switzerland

Date:

October 26, 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

**Evidence of Transfer**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
CPN Lehman Bros Treasury BV 2007-30.7.2010	XS0309871019	Lehman Brothers Treasury BV	Lehman Brothers Holding Inc.	EUR 50'000.00 out of EUR 3'120'000.00

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on pages 12 and in paragraphs/lines 4 of the Addendum to the Proof of Claim.**

**UBS AG, ("Transferor")** hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Banque Baring Brothers Sturdza SA  
112 rue du Rhône, PO BOX 3024,  
1211 Geneva 3, Switzerland  
Telephone: +41 22 317 9822  
Fax: + 41 22 310 38 80  
Attention: Mrs. Joëlle Polliand

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

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You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated October 21, 2011.

UBS AG  
Transferor

By: 

Name: Hugo Koller  
Title: Director

By: 

Name: Jean-Claude Besson  
Title: Associate Director

ACKNOWLEDGED BY:

Banque Baring Brothers Sturdza SA  
Transferee

By: 

Marc Boryszewski & Geneviève Gugger  
Members of Management

Scotia Plaza, 11 Adelaide  
Street West, 2nd floor  
Toronto Ontario  
M5H 1N1



Epiq Bankruptcy Solutions, LLC  
Attn: LB Holdings Claims Processing  
757 Third Avenue, 3<sup>rd</sup> Floor  
New York  
New York 10017

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CANADA

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lb holdings, claims processing  
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3RD FLOOR  
LEHMAN BROTHERS CLAIMS PROCESS  
10017 NEW YORK, NY  
UNITED STATES OF AMERICA

Contact:  
Ph: 111-111-1111

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